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# 147. The $\pi$ -Orbital Sequence in Norbornadiene and Related Hydrocarbons<sup>1</sup>)

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# (6.111.72)

Summary. A method outlined previously [1] is used to show that in norbornadiene (3) the  $\mathbf{b}_2(\pi)$  orbital lies above  $\mathbf{a}_1(\pi)$ , as predicted by theory. This indicates that in 3 through-space interaction between the two basis  $\pi$ -orbitals  $\pi_a$  and  $\pi_b$  is more important than through-bond interaction.

Analysis of the PE.-spectra of 8-isopropylidene-tricyclo[3.2.1.0<sup>2,4</sup>]-octane (13) and the corresponding octene (15) confirms that the  $\pi$ -orbital  $\pi_c$  of the exocyclic double bond conjugates more

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<sup>&</sup>lt;sup>1</sup>) Part 34 of 'Applications of Photoelectron-Spectroscopy'; Part 33: [1].

strongly with the symmetric *Walsh*-orbital  $\mathbf{e}_{s}$  of the cyclopropano moiety than with the  $\pi$ -orbital  $\boldsymbol{\pi}_{s}$  of a double bond in the same position.

Some time ago [2] we have shown that the two highest occupied molecular orbitals in norbornadiene (3) are the  $\pi$ -orbitals  $\mathbf{a}_1(\pi)$  and  $\mathbf{b}_2(\pi)$  (symmetry  $C_{2\nu}$ ) and that their orbital energies differ by  $|\varepsilon(\mathbf{a}_1(\pi)) - \varepsilon(\mathbf{b}_2(\pi))| = 0.8_5$  eV. According to theory [3],  $\mathbf{b}_2(\pi)$  lies above  $\mathbf{a}_1(\pi)$  and the two basis orbitals  $\pi_{\mathbf{a}}, \pi_{\mathbf{b}}$  interact mainly by a through-space mechanism [4]. Applying the method described in the previous com-



Fig. 1. Photoelectron spectra of 7-isopropylidenenorbornane (4), 7-isopropylidenenorbornene (5) and 7-isopropylidenenorbornadiene (6).

munication [1] we wish to prove that this is indeed the case. A preliminary account has been given [5].



The PE.-spectra of norbornane (1), norbornene (2) and norbonadiene (3) have been described previously [2]. Those of 7-isopropylidenenorbornane (4), 7-isopropylidenenorbornene (5) and 7-isopropylidenenorbornadiene (6) [6] are shown in Fig. 1 and in Tab. 1.

In assigning  $C_{2v}$  symmetry to 4 and 6 and  $C_s$  to 5 we have implicitly assumed that the methyl groups are in conformations which satisfy these symmetry requirements.

Table 1. Vertical  $(I_{v,J})$  and adiabatic  $(I_{a,J})$  ionization potentials corresponding to ejection from orbital  $\psi_J$ .

All values in eV.  $\sigma$ -Ons. = Onset of the  $\sigma$ -band system. The numbering (J) of the bands refers to Fig. 1.

	(1)			2			3			4		
Cpd.	I <sub>a,1</sub>	I <sub>v,1</sub>	$\psi_1$	I <sub>a, 2</sub>	1 <sub>v,2</sub>	$\psi_2$	I <sub>a,3</sub>	I <sub>v,3</sub>	$\psi_3$	I <sub>a,4</sub>	$\psi_4$	
1		10.2	σ-Ons.									
2		8.97	$\mathbf{a}'(\pi)$		10.6	σ-Ons.						
3		8.69	$\mathbf{b}_{2}(\pi)$		9.55	$\mathbf{a}_1(\pi)$		11.3	$\sigma$ -Ons.			
4	8.30	8.49	$\mathbf{b}_{2}(\pi)$	9.6	10.0	σ-Ons.						
5	8.27	8.43	$\mathbf{a}'(\pi)$		8.95	$\mathbf{a}'(\pi)$	9.9	10.15	σ-Ons.			
6	7.97	7.97	$\mathbf{b}_2(\pi)$	8.9	9.25	$\mathbf{b_2}(\pi)$		9.54	$\mathbf{a_1}(\pi)$	10.4	σ-Ons.	

## **Basis-Orbitals**

In the following discussions we shall make use of Koopmans' theorem [7] by postulating that

$$\varepsilon_{\mathbf{J}} = -\mathbf{I}_{\mathbf{v},\mathbf{J}},\tag{1}$$

where  $\varepsilon_{J}$  is the orbital energy assigned to the molecular orbital  $\psi_{J}$  from which the photoelectron is ejected in the ionization process yielding a PE.-band in position  $I_{y,J}$  (= vertical ionization potential).

It is an empirical fact that introduction of a second  $\pi$ -bond into a monoene lowers

a) the orbital energies of the  $\sigma\text{-orbitals}$  which determine the onset of the  $\sigma\text{-band}$  system, and

b) the mean of the  $\pi$ -orbital energies relative to the value observed for the monoene [2] [8], e.g.:

a) 1: 
$$\varepsilon(\sigma$$
-Ons.) = -10.2 eV  
2:  $\varepsilon(\sigma$ -Ons.) = -10.6 eV  
3:  $\varepsilon(\sigma$ -Ons.). = -11.3 eV  
4 = -0.4 eV  
(2)  
b) 2:  $\varepsilon(\sigma$ -Ons.). = -11.3 eV  
3:  $\varepsilon(\sigma$ -Ons.). = -11.3 eV  
4 = -0.7 eV  
3:  $[\varepsilon(\mathbf{b}_2(\pi)) + \varepsilon(\mathbf{a}_1(\pi))]/2 = -9.12 eV$ 

Substitution of 1, 2 and 3 by an isopropylidene group in position 7 has two opposing effects on the  $\sigma$ -orbital energies:

a) It induces an upward shift of the orbital energies of the highest occupied  $\sigma$ -orbitals, due to the enlargement of the  $\sigma$ -frame, and

b) it adds a  $\pi$ -bond to the system, which, according to previous experience, should result in a lowering (negative shift) of these orbital energies. The data given in Tab. 1 indicate that the two effects almost cancel in **4** and result in a positive shift in **5** and **6**:

1: 
$$\varepsilon(\sigma$$
-Ons.) =  $-10.2 \text{ eV}$   
4:  $\varepsilon(\sigma$ -Ons.) =  $-10.0 \text{ eV}$   
2:  $\varepsilon(\sigma$ -Ons.) =  $-10.6 \text{ eV}$   
5:  $\varepsilon(\sigma$ -Ons.) =  $-10.1_5 \text{ eV}$   
4 =  $+0.4_5 \text{ eV}$   
3:  $\varepsilon(\sigma$ -Ons.) =  $-11.3 \text{ eV}$   
6:  $\varepsilon(\sigma$ -Ons.) =  $-10.7 \text{ eV}$   
4 =  $+0.6 \text{ eV}$   
(3)

For the  $\pi$ -orbitals one finds:

2: 
$$\varepsilon(\mathbf{a}'(\pi)) = -8.97 \text{ eV}$$
  
4:  $\varepsilon(\mathbf{b}_{2}(\pi)) = -8.49 \text{ eV}$   
5:  $[\varepsilon(\mathbf{a}'(\pi))_{1} + \varepsilon(\mathbf{a}'(\pi))_{2}]/2 = -8.69 \text{ eV}$   
6:  $[\varepsilon(\mathbf{b}_{2}(\pi))_{1} + \varepsilon(\mathbf{a}_{1}(\pi))_{2} + \varepsilon(\mathbf{b}_{2}(\pi))_{3}]/3 = -8.92 \text{ eV}$   
(4)  
6:  $[\varepsilon(\mathbf{b}_{2}(\pi))_{1} + \varepsilon(\mathbf{a}_{1}(\pi))_{2} + \varepsilon(\mathbf{b}_{2}(\pi))_{3}]/3 = -8.92 \text{ eV}$ 

These results agree with those derived from the PE.-spectra of 7-methylenenorbornane 7 and 7-methylenenorbornadiene 8 [9].



The mean of the  $\pi$ -ionization potentials of **2** and **7** is (8.97 + 9.40)/2 = 9.19 eV and of the three  $\pi$ -bands of **8** (8.50 + 9.65 + 9.90)/3 = 9.35 eV. Hence, the difference in mean  $\pi$ -orbital energies is -0.16 eV, as compared with -0.23 + 0.04 = -0.19 eV calculated from the shifts given in (4). The  $\pi$ -ionization potential difference of 0.9 eV in going from **4** to **7** is consistent with the shifts observed when ethylene is substituted by methyl groups: ethylene  $I_{v,\pi} = 10.6 \text{ eV}$ , propene  $I_{v,\pi} = 9.7 \text{ eV}$ , isobutene  $I_{v,\pi} = 9.2 \text{ eV}$ , *cis*- and *trans*-butene  $I_{v,\pi} = 9.1 \text{ eV}$ , 2-methyl-2-butene  $I_{v,\pi} = 8.7 \text{ eV}$ , 2,3-dimethyl-2-butene  $I_{v,\pi} = 8.3 \text{ eV}$  [10].

However, these data do not permit unambigous assignment of shifts to the individual basis orbitals in 5, 6 and 8, because of the non-equivalence of the endocyclic and exocyclic  $\pi$ -bonds.

With reference to the nomenclature given in [1] we define our basis orbitals and their relative signs as follows:



The symmetry-adapted linear combinations (see formula (6) of [1]) are:

$$\begin{aligned} \boldsymbol{\pi}_{+} &= (\boldsymbol{\pi}_{\mathbf{a}} + \boldsymbol{\pi}_{\mathbf{b}}) / \sqrt{2} \\ \boldsymbol{\pi}_{-} &= (\boldsymbol{\pi}_{\mathbf{a}} - \boldsymbol{\pi}_{\mathbf{b}}) / \sqrt{2} \end{aligned} \tag{5}$$

These contribute with greatest weight to the following orbitals (see formula (8) of [1]):

In 3 (
$$\equiv$$
 M):  
 $\psi_{+} \equiv \mathbf{a}_{1}(\boldsymbol{\pi}_{+}); \psi_{-} \equiv \mathbf{b}_{2}(\boldsymbol{\pi}_{-})$   
In 6 ( $\equiv$  M'):  
 $\psi_{+} \equiv \mathbf{a}_{1}(\boldsymbol{\pi}_{+}); \psi_{-,1} \equiv \mathbf{b}_{2}(\boldsymbol{\pi}_{c} - \lambda \boldsymbol{\pi}_{-})$   
 $\psi_{-,2} \equiv \mathbf{b}_{2}(\boldsymbol{\pi}_{-} + \lambda \boldsymbol{\pi}_{c})$ 
(6)

The parameter  $\lambda(|\lambda| < 1)$  is positive (negative) if  $\pi_c$  lies above (below)  $\pi_{-}$ . (According to formula (8) of [1], the molecular orbitals  $\psi_+$  and  $\psi_-$  include  $\sigma/\pi$ -mixing).

Consider first the hydrocarbon  $3 \equiv M$ , i.e. the molecule M for which we wish to derive the sequence of the  $\pi$ -orbitals  $\mathbf{a}_1(\pi_+)$ ,  $\mathbf{b}_2(\pi_-)$ . From the experimental results given in [2] we obtain (formulae (11) and (13) of [1]):

$$A_{a} = -\overline{I_{v}} = -9.12 \text{ eV}$$
$$|B_{ab}| = \frac{\Delta I_{v}}{2} = -0.42_{5} \text{ eV}$$
(7)

We assume that the dihedral angle  $\omega$  between the two C-CH=CH-C moieties is the same in **6** (= M') as in **3**, i.e. independent of the presence or absence of an exocyclic double bond in position 7. Consequently the overlap integral  $S_{ab} = \langle \pi_a | \pi_b \rangle$  is also the same in **3** and **6**, so that  $B_{ab} = B'_{ab}$  (see formula (18) of [1]). On the other hand  $A'_a = A_a + \delta A_a$ . We estimate  $\delta A_a \approx 0.1$  eV, which yields

$$A'_a \approx -9.0 \text{ eV}$$
 (8)

The negative ionization potential  $-I_{v,1} = \varepsilon(\mathbf{b}_2(\pi)) = -8.49$  eV of 4 corresponds in our simplified model to the unperturbed orbital energy of  $\pi_c$ :

$$A_c^0 = -8.49 \text{ eV}$$
 (9)

According to (2) the shift from  $A_c^0$  to  $A_c'$  is presumably  $\delta A_c \approx -0.15$  eV per double bond, which leads to

$$A'_{c} = A^{0}_{c} + 2 \,\delta A_{c} \approx -8.79 \text{ eV}$$

$$\tag{10}$$

Thus  $A'_c$  is almost degenerate with  $A'_a$ .

## $\pi$ -Orbital Sequence in Norbornadiene

With the above parameters for the basis orbitals we now investigate whether the order of the  $\pi$ -orbitals in 3 corresponds to

case A), the natural sequence  $\mathbf{b}_2(\boldsymbol{\pi}_-)$  above  $\mathbf{a}_1(\boldsymbol{\pi}_+)$  (see Fig. 2), or to

case B), the inverted sequence  $\mathbf{a}_1(\boldsymbol{\pi}_+)$  above  $\mathbf{b}_2(\boldsymbol{\pi}_-)$  (see Fig. 3).

To discriminate between these two cases we derive the corresponding band positions for the PE.-spectrum of 6 and compare them with the experimental results.

Case A



Fig. 2. Predicted  $\pi$ -orbital sequence in **6** assuming the natural sequence  $\mathbf{b}_2(\pi)$  above  $\mathbf{a}_1(\pi)$  for norbornadiene **3** 

Interaction of  $\pi_a$  and  $\pi_b$  ( $A'_a = -9.00 \text{ eV}$ ;  $B'_{ab} = -0.43 \text{ eV}$ ) yields  $\varepsilon(\mathbf{a}_1(\pi_+)) = -9.43 \text{ eV}$  and  $\varepsilon(\mathbf{b}_2(\pi_-)) = -8.58 \text{ eV}$ . The mean value ( $\varepsilon(\pi_-) + A'_c$ )/2 = -8.69 eV agrees quite well with the observed mean  $-(\mathbf{I}_{\mathbf{v},1} + \mathbf{I}_{\mathbf{v},2})/2 = -8.61 \text{ eV}$  derived from the positions of the first two  $\pi$ -bands in the PE.-spectrum of **6**. For symmetry reasons  $\pi_c$  can interact only with  $\mathbf{b}_2(\pi_-)$ . To a first approximation, i.e. neglecting the contribution of  $\sigma$ -orbitals, the interaction matrix element is

$$\langle \boldsymbol{\pi}_{\mathbf{c}} | \, \boldsymbol{\mathcal{H}} | \, \mathbf{b}_{\mathbf{2}}(\boldsymbol{\pi}_{-}) \rangle = \sqrt{2} \, \mathbf{B}_{\mathbf{ac}}'$$
 (11)

(see (17) of [1]). The orbital energies associated with  $\mathbf{b}_2(\boldsymbol{\pi}_c - \lambda \boldsymbol{\pi}_-)$  and  $\mathbf{b}_2(\boldsymbol{\pi}_- + \lambda \boldsymbol{\pi}_c)$ (c.f. (6)) are calculated according to

$$\varepsilon = -8.68_5 \pm 100011025 + 2 B_{ac}^{\prime 2}$$
(12)

From the observed gap  $I_{v,2} - I_{v,1} = 1.28 \text{ eV}$  one obtains  $B'_{ac} = -0.45 \text{ eV}$ , the negative sign corresponding to the assumption of through-space interaction. The result  $B'_{ac} \approx B'_{ab}$  is reasonable.





Fig. 3. Predicted  $\pi$ -orbital sequence in **6** assuming the inverted sequence  $\mathbf{a}_1(\pi)$  above  $\mathbf{b}_2(\pi)$  for norbornadiene **3** 

Interaction of  $\pi_{\mathbf{a}}$  and  $\pi_{\mathbf{b}}(\mathbf{A}'_{\mathbf{a}} = -9.00 \text{ eV}, \mathbf{B}'_{\mathbf{a}\mathbf{b}} = +0.43 \text{ V})$  yields  $\varepsilon(\mathbf{a}_{1}(\boldsymbol{\pi}_{+})) = -8.58 \text{ eV}$  and  $\varepsilon(\mathbf{b}_{2}(\boldsymbol{\pi}_{-})) = -9.43 \text{ eV}$ . Consequently we should expect a  $\boldsymbol{\pi}$ -band in the PE.-spectrum of **6** near 8.6 eV, corresponding to ejection of an electron from the orbital  $\mathbf{a}_{1}(\boldsymbol{\pi}_{+})$ , but no such band is present. In addition the first and third  $\boldsymbol{\pi}$ -ionization potentials  $\mathbf{I}_{\mathbf{v},\mathbf{1}} = 7.97 \text{ eV}$  and  $\mathbf{I}_{\mathbf{v},\mathbf{3}} = 9.54 \text{ eV}$  of **6** would have to be assigned to ejection from  $\mathbf{b}_{2}(\boldsymbol{\pi}_{c} - \lambda \boldsymbol{\pi}_{-})$  and  $\mathbf{b}_{2}(\boldsymbol{\pi}_{-} + \lambda \boldsymbol{\pi}_{c})$  (cf. (6)), the orbital energies of which are given by

 $\varepsilon = -9.11 \pm \sqrt[4]{0.1024 + 2 \,\mathrm{B}_{ac}^{\prime 2}} \tag{13}$ 

As can be seen from Fig. 3 it is impossible to obtain even rough agreement with the observed PE.-spectrum of **6**, independent of the choice of  $B'_{ac}$ . Indeed, setting  $\varepsilon = \varepsilon (\mathbf{b}_2(\boldsymbol{\pi}_- - \lambda \boldsymbol{\pi}_c)) = -7.97 \text{ eV}$  we calculate from (13)  $B'_{ac} = -0.77 \text{ eV}$ , a value much too large to be acceptable. Also, this would lead to a value  $\varepsilon (\mathbf{b}_2(\boldsymbol{\pi}_- + \lambda \boldsymbol{\pi}_c)) = -10.25 \text{ eV}$ , too low by 0.7 eV.



Fig. 4. Comparison of the  $\pi$ -orbital energies for (b) 7-methylenenorbornane 7 and 7-methylenenorbornadiene 8 [9] with those of the corresponding 7-isopropylidene derivatives 4 and 6 (a). The levels correspond, according to (1), to the observed vertical ionization potentials.

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We conclude: The analysis of the PE.-spectrum of **6** proves that the order of the  $\pi$ -orbitals in **3** corresponds to case A, the natural sequence of  $\mathbf{b}_2(\pi_-)$  above  $\mathbf{a}_1(\pi_+)$ . Consequently the interaction of  $\pi_{\mathbf{a}}$  and  $\pi_{\mathbf{b}}$  in **3** is through-space dominated.

This is summarized in the correlation diagram (a) of Fig. 4 (see also [5]). Lately the analogous correlation was proposed for 7 and 8 by *Hoffmann*, *Schüttler*, *Schäfer* & *Schweig* [9] (see correlation diagram (b) of Fig. 4), thus providing a welcome verification of our previous results [5]. The orbital energies  $\varepsilon_J$  given in Fig. 4 are those deduced according to (1) from the measured band positions  $I_{v,J}$ . The influence of the two additional methyl groups in 6, relative to 8, results in a reversal of the symmetry labels for the bands  $\mathfrak{D}$  and  $\mathfrak{B}$ , because of the positive displacement by 0.9 eV in the energy of the orbital  $\pi_e$  in 4 relative to 7, and thus in the basis orbital energy  $A'_c$  of 6 relative to 8.

# Comments

1) In the case of **7** and **8** the parameters  $A_a$  and  $B_{ab}$  given in (7) remain unchanged. On the other hand, the perturbation  $\delta A_a$  is presumably negative because of the loss of two methyl groups in going from **6** to **8**. Assuming  $\delta A_a = -0.1$  eV yields  $A'_a = -9.2$  eV. On the basis of  $I_{v,1} = 9.40$  eV from the PE.-spectrum of **7**, we calculate in analogy to (10) that  $A'_e = A^0_e + 2 \ \delta A_e = -9.40 - 0.30 = -9.70$  eV. With these parameters, relation (12) becomes

$$\varepsilon = -9.24 + \sqrt{0.2116 + 2 \,\mathrm{B}_{\mathrm{ac}}^{\prime 2}} \tag{14}$$

From the gap  $I_{v,3} - I_{v,1} = 1.40$  eV between bands (1) and (3) in the PE.-spectrum of 8 (cf. Fig. 4) one obtains  $B'_{ac} = -0.37$  eV, in agreement with  $B'_{ac} = -0.45$  eV derived above for 6.

2) Further confirmation is obtained from an analysis of the PE.-spectrum of **5**. The energy  $A_a$  of the basis  $\pi$ -orbital  $\pi_a$  is estimated to be  $A'_a \approx -8.8$  to  $-8.8_5$  eV, i.e. higher by  $\delta A_a \approx 0.15$  to 0.10 eV than the observed  $\pi$ -orbital energy in 2 (cf. (4)). The introduction of a double bond in **4** should lower  $A^0_c$  by  $\delta A_c \approx -0.1$  to -0.15 eV relative to the observed value  $A^0_c = -8.49$  eV. This places the center  $(A'_a + A'_c)/2$  at -8.7 to 8.75 eV, in agreement with the observed value  $-(I_{v,1} + I_{v,2})/2 = -8.69$  eV (see PE.-spectrum of **5**). With  $A'_a = -8.8$  eV and  $A'_c = -8.6$  eV, the observed split  $I_{v,2} - I_{v,1} = 0.52$  eV leads to  $B'_{ac} = -0.24$  eV. This value is smaller than  $B'_{ac} = -0.37$  to -0.45 eV derived above.

This decrease in  $B'_{ac}$ , if significant, could be rationalized in terms of one, or of a combination of the following effects. Models suggest that the exocyclic double bond in **5** is presumably bent away from the endocyclic one (as indicated schematically in **9**), which entails a decrease in overlap between  $\pi_a$  and  $\pi_c$  and thus a reduction in  $B'_{ac}$ . Furthermore the change in



conformation depicted in 9 will lead to an increase in through bond interaction among  $\pi_a$  and  $\pi_c$ . The resulting reduction in orbital split is formally absorbed in the now smaller parameter  $B'_{ac}$ . Finally there is the possibility that the orbitals  $\pi_a$  and  $\pi_b$  in 6 and 8 distort as indicated in 10 so as to minimize electron-electron repulsion on the endo-side of the six-membered ring. Such an effect has been suggested as an explanation for the direction of the dipole moments of 1,6-bridged [10]-annulenes [11]. Again this would lead to an increase in  $B'_{ac}$  in 6 and 8 relative to 5. However, all these possibilities need further examination.

3) A situation similar to that in 5 occurs in norbornene-7-one 11 [12]. The energy of the highest occupied  $\pi$ -orbital (essentially  $\pi_a$ ) is -9.6 eV, i.e. lower by 0.6 eV than in 2. This shift towards lower energies has been attributed to through-space interaction between  $\pi_a$  and the *antibonding*  $\pi_c^*$  orbital of the carbonyl group. Such an interaction would indeed be favoured by the large size of the 2p atomic orbital coefficient of  $\pi_c^*$  at the carbon atom of the carbonyl group. However, a major part of the shift is due to the presence of the electronegative oxygen centre, as shown by the PE.-spectra obtained for other unsaturated ketones [13].

# Orbital interaction in 7-isopropylidene-substituted *endo*-cyclopropanonorbornane and *endo*-cyclopropanonorbornene.

There is ample experimental evidence that an *endo*-cyclopropane ring in position 2, 3 of a norbornane system stabilizes a positive charge in position 7 to a larger extent than a double bond in the same position [14]. The PE.-spectra of 8-isopropylidene-tricyclo[ $3.2.1.0^{2,4}$ ]-octane (13) and of 8-isopropylidene tricyclo[ $3.2.1.0^{2,4}$ ]-oct-6-ene (15), shown in Fig. 5 (cf. Tab. 2), support this observation. The PE.-spectra of 12 and 14 have been discussed previously [15].



Table 2. Vertical  $(I_{\mathbf{v},\mathbf{J}})$  and adiabatic  $(I_{\mathbf{a},\mathbf{J}})$  ionization potentials of the hydrocarbons 13 and 15. All values in eV. The symbols  $\pi$  or  $\Delta$  indicate that the corresponding orbital is essentially a  $\pi$ - or a Walsh-e-orbital. The numbering  $(\mathbf{J})$  of the bands refers to Fig. 5.

	Û			2			3 4			4	£ (5)				
Cpd.	I <sub>a, 1</sub>	I <sub>v,1</sub>	$\psi_1$	I <sub>a, 2</sub>	$I_{v, 2}$	$\psi_2$	1 <sub>a, 3</sub>	1 <sub>v,3</sub>	$\psi_{3}$	I <sub>a,4</sub>	I <sub>v,4</sub>	$\psi_4$	l <sub>a, 5</sub>	l <sub>v,5</sub>	$\psi_{5}$
13	8.18	8.28	$\mathbf{a}'(\pi)$	8.9	9.31	<b>a</b> ′(⊿)	9.7	9.97	a"(1)		10.38	3σ			
15	7.9	8.14	$\mathbf{a}'(\pi)$	8.8	9.11	$\mathbf{a}'(\pi)$	-	9.46	<b>a′</b> (⊿)	-	10.06	5 <b>a</b> "( <u>/</u> )	10.7	10.98	σ

The homoconjugative interaction between the *Walsh* orbital  $\mathbf{e}_{s}$  [16] (see formula (1) of [15]) and  $\pi_{a}$  in 14 has been shown [15] to be very small, so that we can safely neglect it in a first approximation. Consequently, the energies of the orbitals to be



Fig. 5. Photoelectron spectra of 7-isopropylidene substituted endocyclopropanonorbornane (13) and endo-cyclopropanonorbornene (15)

correlated with the first three PE.-bands in the spectrum of 15 should depend only on predominantly through-space interaction of  $\pi_c$  with  $e_s$  and  $\pi_a$  (C<sub>s</sub> symmetry is assumed for the molecules 12 to 15).

To assign orbital energies to the basis orbitals in 13 and 15 we follow the rules set down above and those derived in [15]. In particular, the shifts induced by one moiety in the basis orbital energies of another can be summarized as follows:

Double bond a 
$$: \delta A_{c} = -0.1 \text{ eV}; \delta \varepsilon(\mathbf{e}_{S, A}) = -0.1 \text{ eV}$$
  
8-Isopropylidene group  $: \delta A_{a} = +0.1 \text{ eV}; \delta \varepsilon(\mathbf{e}_{S, A}) = +0.3 \text{ eV}$  (15)  
endo-Cyclopropane ring:  $\delta A_{a} = -0.1 \text{ eV}; \delta A_{c} = -0.1 \text{ eV}$ 

# a) PE.-spectrum of 13

From the first ionization potential of 4 (I<sub>v,1</sub> = 8.49 eV) and the corrections (15) we deduce  $A_e = -8.6$  eV. As shown in [15] the *Walsh*-orbitals of 12 are found at  $\varepsilon^{\circ}(\mathbf{e}_{\rm S}) = -9.4$  eV and  $\varepsilon^{\circ}(\mathbf{e}_{\rm A}) = -10.2$  eV, which yields according to (15)  $\varepsilon(\mathbf{e}_{\rm S}) = \varepsilon^{\circ}(\mathbf{e}_{\rm S}) + \delta\varepsilon(\mathbf{e}_{\rm S}) = -9.1$  eV and  $\varepsilon(\mathbf{e}_{\rm A}) = \varepsilon^{\circ}(\mathbf{e}_{\rm A}) + \delta\varepsilon(\mathbf{e}_{\rm A}) = -9.9$  eV. For symmetry reasons only  $\mathbf{e}_{\rm S}$  can interact with  $\pi_{\rm c}$ , which leads to the orbital diagram shown in Fig. 6 and thus to the following band assignment: band  $\oplus : \mathbf{a}'(\pi_{\rm c} - \lambda \mathbf{e}_{\rm S})$ , band  $\oplus$ :



Fig. 6. Correlation diagram for the orbital sequence in the hydrocarbon 13 The solid lines are the values derived according to the scheme described in the text. The broken lines are the orbital energies derived from the PE.-spectrum (see Fig. 5 and Tab. 2)

 $\mathbf{a}'(\mathbf{e}_{S} + \lambda \boldsymbol{\pi}_{c})$  (with  $\lambda < 1$ ); band ③:  $\mathbf{a}''(\mathbf{e}_{A})$ . The observed split  $\varepsilon(\mathbf{a}'(\boldsymbol{\pi}_{c} - \lambda \mathbf{e}_{S})) - \varepsilon(\mathbf{a}'(\mathbf{e}_{S} + \lambda \boldsymbol{\pi}_{c})) = 1.03 \text{ eV}$  demands  $B_{ce_{S}} = -0.44 \text{ eV}$ . This is almost twice the value  $B_{ac} = -0.24 \text{ eV}$  found for 5 and also larger than the interaction parameter  $B_{ae_{S}} = -0.30 \text{ eV}$  observed in the case of exo-tricyclo[3.2.1.0<sup>2,4</sup>]oct-6-ene, the exo-isomer of 14 [15]. We conclude that the stereoelectronic conditions in 13 are conducive to strong homoconjugative interaction of the cyclopropane  $\mathbf{e}_{S}$  Walsh-orbital with the  $\boldsymbol{\pi}_{c}$  orbital of the exocyclic double bond, in agreement with the results derived from solvolysis experiments [14].

### b) PE.-spectrum of 15

This hydrocarbon allows a direct comparison of the competitive interaction of  $\pi_a$  and  $\mathbf{e}_s$  with  $\pi_c$ . Using the corrections (15) we obtain the energies for the basis orbitals of 15 directly from the values given previously for 5 and 13:  $A'_a = -8.8 - 0.1 = -8.9 \text{ eV}$ ,  $A'_c = -8.6 - 0.1 = -8.7 \text{ eV}$  and  $\varepsilon(\mathbf{e}_s) = -9.1 - 0.1 = -9.2 \text{ eV}$ . It is known that the antisymmetric *Walsh*-orbital  $\mathbf{e}_A$  lies 0.8 eV below  $\mathbf{e}_s$ , leading to  $\varepsilon(\mathbf{e}_A) = -10.0 \text{ eV}$ .

The orbital energies  $\varepsilon_{J}(J = 1, 2, 3)$  of the three highest occupied orbitals of 15 belonging to the irreducible representation A' are obtained by solving the following secular determinant:

$$0 = \begin{vmatrix} -8.7 - \varepsilon & B_{ac} & B_{ce_s} \\ B_{ac} & -8.9 - \varepsilon & 0 \\ B_{ce_s} & 0 & -9.2 - \varepsilon \end{vmatrix}$$
(16)

With  $B_{ac} = -0.45$  eV and  $B_{ce_s} = -0.44$  eV, as derived from the analysis of the PE.spectra of **6** and **13**, we obtain the orbital energies  $\varepsilon_1$ ,  $\varepsilon_2$ ,  $\varepsilon_3$  which, together with  $\varepsilon_4 = \varepsilon(\mathbf{e}_A)$  are in perfect agreement with those derived from the PE.-spectrum (see Fig. 7):





Fig. 7. Correlation diagram for the orbital sequence in the hydrocarbon 15

The solid lines are the values derived according to the scheme described in the text. The broken lines are the orbital energies derived from the PE.-spectrum (see Fig. 5 and Tab. 2).

#### Comments

It is of interest to compare the differences between the observed orbital energies of the *Walsh*-orbitals, i.e.  $\varepsilon(\mathbf{a}'(\mathbf{e_S})) - \varepsilon(\mathbf{a}''(\mathbf{e_A}))$  in the molecules **12** to **15**:

$$\frac{12}{\varepsilon(\mathbf{a}'(\mathbf{e}_{\mathrm{S}})) - \varepsilon(\mathbf{a}''(\mathbf{e}_{\mathrm{A}}))} = \frac{12}{0.80} = \frac{13}{0.66} = \frac{14}{0.80} = \frac{15}{0.60} = 10$$
(17)

The trend is obvious. Insertion of a double bond into 12 (yielding 14) influences both  $\varepsilon(\mathbf{e}_{\rm S})$  and  $\varepsilon(\mathbf{e}_{\rm A})$  to the same extent, leaving the gap  $\varepsilon(\mathbf{a}'(\mathbf{e}_{\rm S})) - \varepsilon(\mathbf{a}''(\mathbf{e}_{\rm A}))$  invariant at 0.80 eV; the strong interaction of  $\mathbf{e}_{\rm S}$  with  $\pi_{\rm c}$  shifts  $\varepsilon(\mathbf{a}'(\mathbf{e}_{\rm S}))$  towards lower energies, thus reducing the gap to 0.60 to 0.66 eV. In addition, the differences (17) confirm the previous observation [15] that interaction of  $\pi_{\rm a}$  and  $\mathbf{e}_{\rm S}$  can be neglected in *endo*-cyclopropanonorbornene derivatives.

In conclusion, the PE.-spectroscopic behaviour of compounds 5, 6, 13 and 15 confirms the hypothesis that in a norbornane system, interaction of an sp<sup>2</sup>-centre in position 7 with an *endo*-cyclopropano moiety is more important than with a  $\pi$ -bond in position 2, 3.

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# 148. Reactions of Group 3 Metal Alkyls in the Gas Phase. Part 10<sup>1</sup>): The Addition of Olefins to the Monomeric Diisobutylaluminiumhydride

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### (22 IV 72)

Summary. The relative rate constants for adding ethylene  $(k_1)$ , propylene  $(k_2)$  and 2-methylbut-1-ene  $(k_3)$  to gaseous diisobutylaluminium hydride produced in situ from Al<sup>4</sup>Bu<sub>3</sub> have been measured in the temperature range 104–169° in the presence of an excess of equimolar olefin mixtures. The following temperature dependences of the relative rate constants have been obtained:

$$\begin{split} \log{(k_1/k_2)} &= 0.6\text{-}0.8/4.58\times10^{-3}~\text{T}(^\circ\text{K})\\ \log{(k_1/k_3)} &= 1.2\text{-}2.2/4.58\times10^{-3}~\text{T}(^\circ\text{K}) \end{split}$$

Two compensating factors determine the rate of addition of olefins to Al-H and Al-C bonds: (a) the steric effect, reflected in the differences in the preexponential factors and (b) the polar effects, reflected in differences in the activation energies.

In the addition of olefins to  $R_2Al$ -H bonds in contrast to  $R_2Al$ -C bonds, the steric effect (a) does not always overrule the opposing energy effect. At temperatures below 125° e.g., isobutene

<sup>1)</sup> Part 9: K. W. Egger, J. chem. Soc. Faraday I, 68, 1017 (1972).